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3732/1

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/900,503	
	Filing Date	July 6, 2001	
	First Named Inventor	HU, LAWRENCE W.	
	Group Art Unit	3732	
	Examiner Name	SMITH, JEFFREY A.	
Total Number of Pages in This Submission	14	Attorney Docket Number	GUID-012CON

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply 1.111 <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Marked-Up & Clean-Copy of Fig. 10 w/proposed amendment(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input checked="" type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Approval of Proposed Drawing Amendment
Remarks		

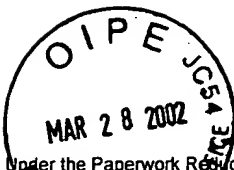
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	FRANK P. BECKING, Reg. No. 42,309
Signature	
Date	March 13, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 13, 2002.			
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Signature		Date	March 13, 2002

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

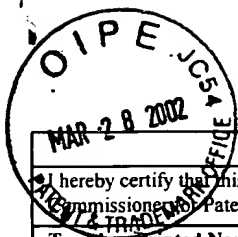


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FEE TRANSMITTAL for FY 2002		Complete if Known	
Patent fees are subject to annual revision.		Application Number	09/900,503
		Filing Date	July 6, 2001
		First Named Inventor	HU, LAWRENCE W.
		Examiner Name	SMITH, JEFFREY A.
		Group Art Unit	3732
		Attorney Docket No.	GUID-012CON
TOTAL AMOUNT OF PAYMENT (\$)		452.00	
METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to: Deposit Account Number 50-0815 Deposit Account Name Bozicevic, Field & Francis LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Fee Code Large Entity Fee (\$) Small Entity Fee (\$) Fee Description Fee Paid	
FEE CALCULATION		105 130 205 65 Surcharge - late filing fee or oath	
2. BASIC FILING FEE		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
Large Entity Fee Code (\$)		139 130 139 130 Non-English specification	
Small Entity Fee Code (\$)		147 2,520 147 2,520 For filing a request for ex parte reexamination	
Fee Description		112 920* 112 920* Requesting publication of SIR prior to Examination action	
Fee Paid		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
SUBTOTAL (1)		115 110 215 55 Extension for reply within first month	
1. EXTRA CLAIM FEES		116 400 216 200 Extension for reply within second month	
Total Claims 25-20** = 5 x		117 920 217 460 Extension for reply within third month	
Extra Claims Fee from below 18.00		118 1,440 218 720 Extension for reply within fourth month	
Indep. Claims 6-3** = 3 x 84.00 = 252.00		128 1,960 228 980 Extension for reply within fifth month	
Multiple Dependent =		119 320 219 160 Notice of Appeal	
Large Entity Fee Code (\$)		120 320 220 160 Filing a brief in support of an appeal	
Small Entity Fee Code (\$)		121 280 221 140 Request for oral hearing	
Fee Description		138 1,510 138 1,510 Petition to institute a public use proceeding	
Claims in excess of 20		140 110 240 55 Petition to revive - unavoidable	
Independent claims in excess of 3		141 1,280 241 640 Petition to revive - unintentional	
Multiple dependent claim, if not paid		142 1,280 242 640 Utility issue fee (or reissue)	
** Reissue independent claims over original patent		143 460 243 230 Design issue fee	
SUBTOTAL (2) \$ 342.00		144 620 244 310 Plant issue fee	
**or number previously paid, if greater; For Reissues, see above.		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Processing fee under 37 CFR 1.17(q)	
		126 180 126 180 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 740 246 370 For each additional invention to be examined (37 CFR § 1.129(a))	
		149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
		179 740 279 370 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify) Terminal Disclaimer 110.00	
		*Reduced by Basic Filing Fee Paid	
		SUBTOTAL (3) (\$) 110.00	
SUBMITTED BY		Complete (if applicable)	
Name (Print/Type) Frank P. Becking		Registration No. (Attorney/Agent) 42,309	
Signature		Telephone (650) 327-3400	
		Date 03/13/2002	

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#5/2
4/11/02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name		Teri Muir	
Signature		<i>Teri Muir</i>	Date March 13, 2002

REQUEST FOR APPROVAL OF PROPOSED DRAWING AMENDMENT Address to: Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	GUID-012CON
	Confirmation No.	5237
	First Named Inventor	Hu et al.
	Application Number	09/900,503
	Filing Date	July 6, 2001
	Group Art Unit	3732
	Examiner Name	Jeffery A. Smith
Title	Surgical Retractor Platform Blade Apparatus	

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Sir:

This is to request the Examiner's approval of a proposal to change Figure 10. The only changes requested are to change the reference numbers 85 to ---89--- as indicated in red ink on the enclosed copy of Fig. 10. Applicants note that these changes are being requested since the "tissue retainer" has also been assigned reference number 85. Approval of this proposal is respectfully requested. A clean copy of the drawing is also submitted in anticipation of acceptance of the correction.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815. However, the Commissioner is not authorized to charge the issue fee in this case.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: 3/13/02

By: *Frank P. Becking*
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